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AUG 15 2005

**"SECOND REQUEST"****TELECOPY**COGNIS CORPORATION  
PATENT DEPT.  
300 BROOKSIDE AVENUE  
AMBLER, PA 19002DATE: August 15, 2005**TO:**Name: OFFICE OF INITIAL EXAMINATION'S  
FILING RECEIPT CORRECTIONS**FROM:**Name: Rose Stowe  
For: Aaron R. Ettelman, Esq.  
Patent DepartmentFAX NO: (703) 746-9195NUMBER OF PAGES 10 INCLUDING THIS COVER PAGE.We are transmitting from facsimile machine (215) 628-1345.If you do not receive all the pages indicated above, please call Rose Stowe at (215) 628-1017 between 8:00 A.M. and 5:00 P.M.

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RE: Our Case C 2319 PCT/US (Serial No. 10/501,623)

Please find attached a "Request For Correction Of Filing Receipt" in the above-named case.

**"NOTE: THIS REQUEST FOR A CORRECTION OF FILING RECEIPT WAS FAXED TO THE PTO ON 2/9/05, AS YOU CAN SEE BY MY ORIGINAL FACSIMILE COVER SHEET, CONFIRMATION AND RIGHTFAX RECEIPTS WHICH I HAVE ALSO ENCLOSED. PLEASE GIVE THIS SECOND REQUEST EXPEDITED ATTENTION!"**

THANK YOU!

*Rose Stowe*

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AUG 15 2005

TELECOPYCOGNIS CORPORATION  
PATENT DEPT.  
300 BROOKSIDE AVENUE  
AMBLER, PA 19002DATE: February 9, 2005

## TO:

Name: OFFICE OF INITIAL EXAMINATION'S  
FILING RECEIPT CORRECTIONSFAX NO: (703) 746-9195

## FROM:

Name: Rose Stowe  
For: Aaron R. Ettelman, Esq.  
Patent DepartmentNUMBER OF PAGES 7 INCLUDING THIS COVER PAGE.We are transmitting from facsimile machine (215) 628-1345.If you do not receive all the pages indicated above, please call Rose Stowe at (215) 628-1017 between 8:00 A.M. and 5:00 P.M.\*\*\*\*\*  
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\*\*\*\*\*RE: Our Case C 2319 PCT/US (Serial No. 10/501,623)

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2-9-05

## Confirmation Report - Memory Send

Page : 001  
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**TELECOPY**

COGNIS CORPORATION  
PATENT DEPT.  
300 BROOKSIDE AVENUE  
AMBLER, PA 19002

DATE: February 8, 2005

TO:  
Name: OFFICE OF INITIAL EXAMINATION'S  
FILING RECEIPT CORRECTIONS

FROM:  
Name: Ross Stows  
For: ABRAHAM E. EISENMAN, Esq.  
Patent Department

FAX NO: (703) 746-9195

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RE: Our Case C 2319 PCT/US (Serial No. 10/501,623

Please find attached a "Request For Correction Of Filing Receipt" in the above-named case.

## Auto-Reply Facsimile Transmission



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02-09-1003 00:00	FROM:COGNIS CORP., PATENT DEPT.	+215-628-1345	T-526 P.004/010 F-010
<b>TELECOPY</b>			
COGNIS CORPORATION PATENT DEPT. 300 BROOKSIDE AVENUE AMBLER, PA 19002			
DATE: February 9, 2005			
TO: Name: <u>OFFICE OF INITIAL EXAMINATIONS</u> <u>FILING RECEIPT CORRECTIONS</u>	FROM: Name: <u>Rosa Blawie</u> For: <u>Arron R. Eitelman, Esq.</u> <u>Patent Department</u>		
FAX NO: <u>(703) 748-9195</u>			
NUMBER OF PAGES <u>7</u> INCLUDING THIS COVER PAGE.			
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If you do not receive all the pages indicated above, please call <u>Rosa Blawie</u> at (215) 628-1017 between 8:00 A.M. and 5:00 P.M.			
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RE: <u>Our Case C 2319 PCT/US (Serial No. 10/501,623)</u>			
Please find attached a "Request For Correction Of Filing Receipt" in the above-named case.			
PAGE 12 * RCV'D AT 8/15/2005 3:53:41 PM [Eastern Daylight Time] * SVR:USPTO-EFXXF-21 * DNIS:7469195 * CSID:+215 628 1345 * DURATION (mm-ss):02:54			

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Date

Rose A. Stowe

Signature of certifier

Rose A. Stowe

Typed or printed name of certifier

PATENT

Docket No. C 2319 PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of  
Moussou, et al.

Serial No. 10/501,623

Examiner: Unknown

Filed: 07/15/2004

Art Unit: 1615

TITLE: ACTIVE SUBSTANCES FOR USE IN COSMETIC AND/OR  
PHARMACEUTICAL PRODUCTS, OBTAINABLE FROM THE FER-  
MENTATION OF PLANT COMPONENTS AND/OR PLANT EXTRACTS

REQUEST FOR CORRECTION OF FILING RECEIPT

Office Of Initial Patent Examinations  
Customer Service Center  
Commissioner of Patents and Trademarks  
P. O. Box 1450  
Alexandria, VA 22313-1450

February 9, 2005

Sir:

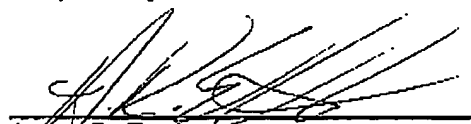
We hereby request correction of the Filing Receipt for Serial No. 10/501,623.

The SECOND INVENTOR'S city of residence in the above-referenced application should be corrected to read as follows:

LOUIS DANOUX, SAULXURES LES NANCY, FRANCE

We thank you for your assistance in this matter.

Respectfully submitted,



Aaron R. Ettelman  
(Reg. No. 42,516)  
Attorney for Applicant  
(215) 628-1413

Cognis Corporation, Patent Dept.  
300 Brookside Avenue  
Ambler, PA 19002

Enclosures:

- 1) Filing Receipt (copy)
- 2) Executed Declaration (copy)

*AKZ/RS*

## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/501,623	07/15/2004	1815	920	C 2319 PCT/US		20	2

CONFIRMATION NO. 2222

23657

COGNIS CORPORATION  
 PATENT DEPARTMENT  
 300 BROOKSIDE AVENUE  
 AMBLER, PA 19002

## FILING RECEIPT



\*OC000000014859819\*

*No Marketing*

Date Mailed: 01/03/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

X

*l*  
 Philippe Moussou, Nancy, FRANCE;  
 Louis Danoux, Saucures Les Nancy, FRANCE;  
 Gilles Pauly, Nancy, FRANCE;

## Power of Attorney:

Aaron Ettelman-42516

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/00066 01/07/2003

## Foreign Applications

FRANCE 02/00423 01/15/2002

Projected Publication Date: 04/07/2005

Non-Publication Request: No

Early Publication Request: No

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 ACTION \_\_\_\_\_ INFO \_\_\_\_\_

JAN 10 2005 *me*

DUE \_\_\_\_\_  
 FILE C-2319

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 CPI DATABASE

**Title**

Active substances for use in cosmetic and/or pharmaceutical products, obtainable from the fermentation of plant components and/or plant extracts

**Preliminary Class**

514

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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PTO/SB/01 (8-95)

Approved for use through: 10/31/98 OMB 0651-0032

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

0019/PTO Rev. 8/85	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number	C 2319 PCT/US
<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b>		First Named Inventor	MOUSSOU, Philippe
		COMPLETE IF KNOWN	
		Application Number	
		Filing Date	
		Group Art Unit	
<input type="checkbox"/> Declaration Submitted with Initial Filing         OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing		Examiner Name	

As a below named inventor, I hereby declare that:  
 My residence, post office address, and citizenship are as stated below next to my name.  
 I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**ACTIVE SUBSTANCES FOR USE IN COSMETIC AND/OR PHARMACEUTICAL PRODUCTS,  
OBTAINABLE FROM THE FERMENTATION OF PLANT COMPONENTS AND/OR PLANT  
EXTRACTS**

(Title of the Invention)

the specification of which  
☐ is attached hereto  
 OR  
☒ was filed on (MM/DD/YYYY) 01/07/2003 as United States Application Number or PCT International  
 Application Number PCT/EP03/00066 and was amended on (MM/DD/YYYY)                      (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(e)-(d) or §385(b) of any foreign application(s) for patent or inventor's certificate, or §385(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
02/00423	FR	01/15/2002	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

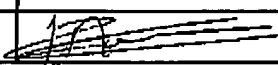
Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
		<input type="checkbox"/>

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington DC 20231.



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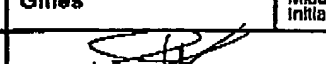
C 2319 PCT/US

DECLARATION		Page 2	
I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365 of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.			
U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (If applicable)
	PCT/EP03/00066	01/07/2003	
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.			
As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:			
<input type="checkbox"/> Firm Name OR		Customer Number or label	
<input checked="" type="checkbox"/> List Attorney(s) and/or agent(s) name and registration number below:			
Name	Registration Number	Name	Registration Number
Steven J. Trzaska Aaron R. Ettelman	36,296 42,516		
<input type="checkbox"/> Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.			
Please direct all correspondence to:		<input checked="" type="checkbox"/> Customer Number or label	23657 OR <input type="checkbox"/> Fill in correspondence address below
Name	Aaron R. Ettelman		
Address			
Address			
City	State	Zip	
Country	Telephone	215-628-1413	Fax 215-628-1345
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name	Philippe	Middle Initial	Family Name Moussou
Inventor's Signature			Date 26 May 2004 Suffix e.g. Jr.
Residence: City	Nancy	State	Country France
Post Office Address	14, rue de Marsai		
Post Office Address			
City	54000 Nancy	State	Country France
Applicant Authority			
<input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto			

Page 2

Type a plus sign (+) inside this box ☐

C 2319 PCT/US

Name of Additional Joint Inventor, if any:										A petition has been filed for this unsigned inventor:													
Given Name		Louis				Middle Initial				Family Name		Danoux				Suffix e.g. Jr.							
Inventor's Signature										Date		26 May 2004											
Residence: City		Saulxures Les Nancy				State				Country		France				Citizenship		France					
Post Office Address		12, rue de Bretagne																					
Post Office Address																							
City		54420 Saulxures Les Nancy				State				Zip				Country		France				Applicant Authority			
Name of Additional Joint Inventor, if any:										A petition has been filed for this unsigned inventor:													
Given Name		Gilles				Middle Initial				Family Name		Pauly				Suffix e.g. Jr.							
Inventor's Signature										Date		26 May 09											
Residence: City		Nancy				State				Country		France				Citizenship		France					
Post Office Address		5, rue de Begonias																					
Post Office Address																							
City		54000 Nancy				State				Zip				Country		France				Applicant Authority			
Name of Additional Joint Inventor, if any:										A petition has been filed for this unsigned inventor:													
Given Name						Middle Initial				Family Name						Suffix e.g. Jr.							
Inventor's Signature										Date													
Residence: City						State				Country						Citizenship							
Post Office Address																							
Post Office Address																							
City						State				Zip				Country						Applicant Authority			
Name of Additional Joint Inventor, if any:										A petition has been filed for this unsigned inventor:													
Given Name						Middle Initial				Family Name						Suffix e.g. Jr.							
Inventor's Signature										Date													
Residence: City						State				Country						Citizenship							
Post Office Address																							
Post Office Address																							
City						State				Zip				Country						Applicant Authority			
Name of Additional Joint Inventor, if any:										A petition has been filed for this unsigned inventor:													
Given Name						Middle Initial				Family Name						Suffix e.g. Jr.							
Inventor's Signature										Date													
Residence: City						State				Country						Citizenship							
Post Office Address																							
Post Office Address																							
City						State				Zip				Country						Applicant Authority			
Additional Inventors are being named on supplemental sheet(s) attached hereto																							